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<b>TRANSMIT</b>	TAL	_
FORM		

(to be used for all correspondence after initial filing)

Application Number	10/693,050	
Filing Date	October 24, 2003	
First Named Inventor	Olin Palmer	
Art Unit	3731	
Examiner Name	Kevin Thao Truong	
Attorney Docket Number	ACS-65420 (2955C)	

Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer identify below): Postcard **Express Abandonment Request** Request for Refund \$180 Check CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ CUSTOMER NO. 24201 Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT FULWIDER PATTON LLP Firm Name Signature rws THOMAS H. MAJCHER Printed name Date **JULY 24, 2006** 31,119

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature HM HM

Typed or printed name THOMAS H. MAJCHER

Date JULY 24, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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5, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperw Reduction Act o RABBAR Complete if Known Consolidated Appropriatons Act, 2005 (H.R. 4818) Application Number 10/693,050 FEE TRANSMITT Filing Date October 24, 2003 for FY 2006 First Named Inventor Olin Palmer Examiner Name Kevin Thao Truong Applicant claims small entity status. See 37 CFR 1.27 **Art Unit TOTAL AMOUNT OF PAYMENT** \$180.00 Attorney Docket No. ACS-65420 (2955C) METHOD OF PAYMENT (check all that apply) **⊠** Check Credit Card Money Order □ None Other (please identify): Deposit Deposit Deposit Account Number: 06-2425 Deposit Account Name: FULWIDER PATTON LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or any underpayments of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity Small Entity** Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid(\$) Utility 300 150 500 250 200 100 200 Design 100 50 130 65 200 Plant 100 300 150 160 80 Reissue 300 150 500 250 600 300 **Provisional** 200 100 O 2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 Multiple Dependent Claims Total Claims Extra Claims Fee (\$) Fee Paid (\$) Fee (\$) Fee Paid (\$) - 20 or HP = \$50.00 \$0,00 HP = highest number of total claims paid for, if greater than 20. Extra Claims Fee Paid (\$) -3 or HP = X \$200.00 \$0.00 HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Eeo (\$) Fee Paid (\$) - 100 = / 50 (round up to a whole \_\$250.00 \$0.00 4. OTHER FEE(S) Fee Paid (\$) Non-English specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): IDS \$180.00

1	SUBMITTED BY					
	Signature	Thers 4 holy	Registration No. (Attorney/Agent)	31,119	Telephone	310 824 5555
1	Name (Print/Type)		THOMAS H. MAJCHER		Date	JULY 24, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Thomas H. Majcher, Reg. No. 31,119

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

10/668,830 10693050

Confirmation No. 1542

**Applicant** 

: Olin Palmer : October 24, 2003

Filed Art Unit

: 3731

Examiner

Kevin Thao Truong

Title

SELF FURLING UMBRELLA FRAME FOR CAROTID

**FILTER** 

Docket No.:

ACS 65420 (2955C)

Los Angeles, California

Customer No.

: 24201

July 24, 2006

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to the duty of disclosure and 37 CFR § 1.97(c)(2), enclosed is Form PTO SB/08a, listing seven (7) references which may be material to the patentability of the invention. The Examiner is respectfully requested to consider and cite the references. It is additionally requested that the Examiner indicate consideration of the cited references by returning a copy of the attached Form PTO SB/08a with initials and/or other appropriate marks. Copies of U.S. references are omitted pursuant to 1276 Off. Gaz. Pat. Off. 55, 8/5/2003: "The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b)."

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This statement is not a representation that all of the information cited is cessarily effective as prior art against the present application or that a prior art search was performed.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(b)(3), prior to the first Office Action on the merits, and therefore no fee is due. However, if a fee is in fact due, the Commissioner is authorized to charge any additional fee(s) to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LLP

By:

Thomas H. Majoher Registration No. 31,119

THM:lm

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Examiner Name Thao Kevin Truong

Sheet 1 of 1 Attorney Docket Number ACS 65420 (2955C)

Sheet 1			of 1			Attorney Dock		iber	ACS 65420	(2955C)	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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